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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

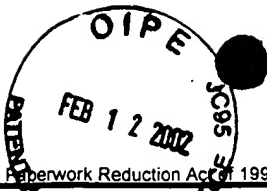
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/395,106	
	Filing Date	September 14, 1999	
	First Named Inventor	Westhoff et al.	
	Group Art Unit	3635	
	Examiner Name	Phi Dieu Tran A	
Total Number of Pages in This Submission	21	Attorney Docket Number	POL-PT010.1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): RECEIVED FEB 19 2002 GROUP 3600
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	RUY M. GARCIA-ZAMOR Volpe and Koenig, P.C.	Reg. No. 44,117
Signature		
Date	January 14, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 14, 2002			
Typed or printed name	RUY M. GARCIA-ZAMOR		
Signature		Date	January 14, 2002

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Volpe and Koenig Revision of PTO/SB/17 (10-01)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

0

Complete if Known

Application Number	09/395,106
Filing Date	September 14, 1999
First Named Inventor	Westhoff et al.
Examiner Name	Phi Dieu Tran A
Group Art Unit	3635
Attorney Docket No.	POL-PT010.1

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 22-0493
Deposit Account Name: VOLPE AND KOENIG, P.C.

☒ Charge any Deficiencies or Credit any Overpayment in the Total Fees Associated With This Communication

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	- 44** = 0	X 9 = 0	
4	- 11** = 0	X 42 = 0	
Multiple Dependent		0 = 0	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
105 130	205 65	Surcharge - late filing fee or path
127 50	227 25	Surcharge - late provisional filing fee or cover sheet
139 130	139 130	Non-English specification
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination
112 920*	112 920*	Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115 110	215 55	Extension for reply within first month
116 400	216 200	Extension for reply within second month
117 920	217 460	Extension for reply within third month
118 1,440	218 720	Extension for reply within fourth month
128 1,960	228 980	Extension for reply within fifth month
119 320	219 160	Notice of Appeal
120 320	220 160	Filing a brief in support of an appeal
121 280	221 140	Request for oral hearing
138 1,510	138 1,510	Petition to institute a public use proceeding
140 110	240 55	Petition to revive - unavoidable
141 1,280	241 640	Petition to revive - unintentional
142 1,280	242 640	Utility issue fee (or reissue)
143 460	243 230	Design issue fee
144 620	244 310	Plant issue fee
122 130	122 130	Petitions to the Commissioner
123 50	123 50	Processing fee under 37 CFR 1.17(q)
126 180	126 180	Submission of Information Disclosure Stmt
581 40	581 40	Recording each patent assignment per property (times number of properties)
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))
179 740	279 370	Request for Continued Examination (RCE)
169 900	169 900	Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Name (Print/Type): Ruy M. Garcia-Zamor, Esquire
Signature: *Ruy M. Garcia-Zamor*

Registration No. (Attorney/Agent)

44,117

Complete (if applicable)

Telephone: 215-568-6400
Date: January 14, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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10/C
YON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

2/25/02

COPY OF PAPER
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In the **PATENT APPLICATION** of:

Westhoff et al.

Application No.: 09/395,106

Filed: September 14, 1999

For: MANHOLE INSERT FOR MANUFACTURE
OF A CAST MEMBER AND TO PROVIDE A
STEP INSERT HAVING INCREASED
STRUCTURAL AND HOLDING STRENGTH

Group: 3635

Examiner: Phi Dieu Tran A

Our File: POL-PT010.1

Date: January 14, 2002

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FEB 19 2002

GROUP 3600

AMENDMENT PURSUANT TO 37 C.F.R. §1.111

Commissioner for Patents
Washington, D.C. 20231

Sir:

This Amendment is respectfully submitted in response to the Office Action, dated October 12, 2001 (Paper No. 9), for the above-identified application in which the Examiner sets a three month shortened statutory period for reply which expires on January 14, 2002 (January 12, 2002 being a Saturday). Entry of the following Amendment and Remarks, without prejudice or disclaimer, is respectfully requested.

IN THE CLAIMS

Please amend claims 21, 23-25, 27, 43, and 44, without prejudice or disclaimer, as follows. A marked-up version of the claims is attached hereto.